



NEW ACCOUNT REQUIREMENTS

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What This Means to You

When you open an account, we will ask you for your name, address, date of birth, and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

Clients need to contact us first when they decide to open an account with Scholtz & Company. They are required to sign an Investment Advisory Agreement giving us discretion over the management of the account, as well as other complete documents relating to tax and regulatory requirements. The client's funds are then deposited into a new account with a mutually agreed custodian, who acts in accordance with our instructions.
